IN THE CLAIMS

1. (currently amended) A data processing apparatus for reproducing receiving data from a memory device or for recording delivering data into a memory storage device, the storage device being external to said data processing apparatus and including a memory, the data received from the external storage device being reproduced from the memory and the data delivered to the external storage device being recorded in the memory, the recording receiving or reproducing delivering ordinarily being carried out on condition that mutual authentication between said data processing apparatus and the memory storage device is successful, said data processing apparatus comprising:

- a virtual memory storage device;
- a <u>first</u> structure operable to <u>alternatively</u> execute the mutual authentication with said virtual <u>memory</u> storage device when the <u>memory</u> external storage device does not include a structure operable to execute the mutual authentication; and
- a <u>second</u> structure operable to <u>reproduce</u> receive the data from the <u>memory</u> <u>external storage</u> device or <u>record</u> <u>to</u> <u>deliver the</u> data <u>in</u>to the <u>memory</u> <u>external storage</u> device when the mutual authentication with said virtual <u>memory</u> <u>storage</u> device is successful.
- 2. (currently amended) The data processing apparatus according to Claim 1, further comprising a structure operable to first execute the mutual authentication with the memory external storage device by initially checking whether the memory external storage device includes the structure operable to execute the mutual authentication.
- 3. (currently amended) The data processing apparatus according to Claim 1, further comprising:wherein
 - a key <u>is provided</u> for authenticating distribution of an enabling key block, <u>said</u> the key having been previously

enciphered by the enabling key block, the enabling key block containing enciphering data for enciphering renewal keys which are located on various paths of a hierarchical key tree structure, the hierarchical tree structure having a plurality of keys associated with various roots of the tree structure, nodes of the tree structure, and leaves of the tree structure, whereby a given one of the plurality of paths of the key structure extends from a specific one of the roots to a paricular particular one of the leaves of said key tree structure, the leaves of the tree structure being respectively associated with a plurality of data processing apparatuses, the enciphering data including upper-rank keys in said tree hierarchy which are enciphered by lower-rank keys; and

said first structure executes the mutual authentication between said structure of said data processing apparatus and with said virtual memory storage device being executed by applying said enabling key block distribution authenticating key and another authenticating key previously stored in said virtual memory storage device.

4. (currently amended) The data processing apparatus according to Claim 3, wherein

said <u>first structure</u> data processing apparatus is able to—decodes said enabling key block only when <u>said data</u> processing apparatus is properly licensed and is unable to decode the enabling key block when <u>said data</u> processing apparatus is devoid of a proper license; and

said data processing apparatus devoid of the proper license being prevented from illegally implementing mutual authentication with said virtual memory storage device by revoking said improper data processing apparatus.

5. (previously presented) The data processing apparatus

according to Claim 3, further comprising means for subjecting said enabling key block distribution authenticating key to a version controlling process by executing a process for renewing individual versions.

- 6. (currently amended) The data processing apparatus according to Claim 1, further comprising:wherein
- a key tree structure <u>is provided</u> comprising a plurality of keys associated with various roots of the tree structure, nodes of the tree structure, and leaves of the tree structure, and having a plurality of paths whereby a given one of the paths extends from a specific one of the roots to a particular one of the leaves of the key tree structure, a plurality of data processing apparatuses being respectively associated with the leaves of the tree, <u>and said data processing apparatus further comprises:</u>

means for enciphering leaf-keys associated with the leaves using a storage key that is proper to an individual one of said data processing apparatuses and then storing the enciphered leaf-key in a memory means within a corresponding data processing apparatus.

- 7. (currently amended) The data processing apparatus according to Claim 1, <u>further comprising:wherein</u>
- a key tree structure <u>is provided</u> comprising a plurality of keys respectively associated with various roots of the tree structure, nodes of the tree structure, and leaves of the tree structure, and having a plurality of paths that extend from the roots to the leaves of said key tree structure, a plurality of data processing apparatuses respectively corresponding to the leaves of the tree and to leaf-keys that further correspond with the leaves, and
 - a device key block <u>is</u> stored in a memory within the processing apparatus, the key block being an assemblage of ciphered keys comprising mutually different individually

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enciphered node keys of plural steps extending from the leaves of the tree structure up to upper-rank keys of the key tree structure.

8. (currently amended) A data processing method for reproducing transferring data from between a data processing apparatus and a memory storage device, or recording data into the memory storage device being external to the data processing apparatus and including a memory, the data transferred to the external storage device being recorded in the memory and the data transferred from the external storage device being reproduced from the memory, said data processing method comprising:

executing mutual authentication with between the data processing apparatus and a virtual memory storage device provided within a the data processing apparatus when the memory external storage device does not include a mutual authentication function; and

transferring reproducing the data from the memory external storage device to the data processing apparatus or recording the data into from the data processing apparatus to the memory external storage device on condition that the mutual authentication between the data processing apparatus and the virtual memory storage device is successful.

9. (currently amended) The data processing method according to Claim 8, further comprising:

identifying, prior to said executing step, whether the memory external storage device is capable of executing said mutual authentication; and

alternatively executing a mutual authentication between the data processing apparatus and the memory external storage device when execution of said mutual authentication between them is possible.

10. (currently amended) The data processing method

according to Claim 8, wherein

the data processing apparatus includes an enabling key block distribution authenticating key previously enciphered by an enabling key block, the enabling key block including data for enciphering renewal keys that are located on a path of a key tree structure having a plurality of keys respectively associated with various roots of the tree structure, nodes of the tree structure, and leaves of the tree structure, the paths extending from the roots to the leaves of said key tree structure, a plurality of data processing apparatuses being respectively associated with the leaves, the enciphering key also including data for enciphering upper-rank keys via lower-rank keys, τ and

said mutual authentication process between the data processing apparatus and the virtual memory storage device being is executed by applying the enabling key block distribution authenticating key and the other authenticating key previously stored in the virtual memory storage device.

11. (currently amended) A license system disposed within a data processing apparatus for providing a data processing apparatus with license control of the transfer of data between the data processing apparatus and a storage device, the storage device being external to the data processing apparatus and including a memory, the data transferred to the external storage device being recorded in the memory and the data transferred from the external storage device being reproduced from the memory, said license system comprising:

means for providing an enabling key block distribution authenticating key, the enabling key block distribution authenticating key being previously enciphered by an enabling key block containing data for enciphering renewal keys located on paths of a key tree structure, the key

structure having a plurality of keys associated with various roots of the key tree structure, nodes of the key tree structure, and leaves of the tree structure, whereby a given one of the plurality of paths extends from a specific one of the roots to a particular one of the leaves of the key tree structure, a plurality of data processing apparatuses being associated with the leaves, the enabling key block also comprising data for enciphering upper-rank keys via lower-rank keys;

a virtual memory storage device;

means for reproducing receiving data from a memory device or recording delivering data into the mutual external storage device condition that on successfully effectuated between said authentication is data processing apparatus and the memory external storage device, or and when the memory external storage device does not include means for carrying out the authentication, on condition that mutual authentication is successfully effectuated between said data processing apparatus and said virtual memory storage device; and

means for enabling enly a properly licensed the data processing apparatus to decode the enabling key block that provides the enabling key block distribution authenticating key among the plurality of data processing apparatuses when the data processing apparatus is properly licensed, and for preventing a the data processing apparatus devoid of the proper license from illegally decoding the enabling key block when the data processing apparatus is devoid of the proper license, thereby preventing the data processing apparatus devoid of the proper license from illegally effectuating authentication with said virtual memory storage device and illegally utilizing contents data.

12. (currently amended) A computer-readable medium

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provided with a computer program for executing a method of reproducing transferring data from between a data processing apparatus and a memory storage device, or recording data into the memory storage device being external to the data processing apparatus and including a memory, the data transferred to the external storage device being recorded in the memory and the data transferred from the external storage device being reproduced from the memory, said data processing method comprising:

executing mutual authentication with between the data processing apparatus and a virtual memory external storage device provided within a the data processing apparatus when the memory external storage device does not include a mutual authentication function; and

transferring reproducing—the data from the memory external storage device to the data processing apparatus or recording—from the data processing apparatus into the memory—storage device on condition that the mutual authentication between the data processing apparatus and the virtual memory—storage device is successful.

- for recording delivering data to or reproducing receiving data from a memory storage device, the storage device being external to said data processing apparatus and including a memory, the data received from the external storage device being reproduced from the memory and the data delivered to the external storage device being recorded apparatus comprising:
 - a controller; and
 - a virtual memory;

wherein the reproducing delivering of data to or recording the receiving of data from the memory external storage device is conditioned upon successful mutual

authentication between the said controller and the said virtual memory storage when the memory external storage device does not support such mutual authentication.

- 14. (currently amended) The data processing apparatus of claim 13, wherein prior to performing the mutual authentication between the said controller and the said virtual memory, the said controller determines whether the memory external storage device includes the mutual authentication function, and if so, the recording of data to or reproducing of data from the memory external storage device is alternatively conditioned upon successful mutual authentication between the controller and the memory external storage device.
- 15. (currently amended) The data processing apparatus of claim 13, wherein the mutual authentication between the said controller and the said virtual memory storage is carried out by applying an authenticating key stored in the said virtual memory storage and an enabling key block distribution authenticating key, wherein the enabling key block distribution authenticating key is previously enciphered by an enabling key block comprising enciphering data for enciphering renewal keys, the renewal keys being located along paths of a hierarchical key tree structure in which a plurality of keys are associated with various roots of the key structure, nodes of the key structure, and leaves of the key tree structure, whereby a given one of the plurality of paths extends from a specific one of the roots to a particular one of the leaves of the key tree structure, the said data processing apparatus being associated with one of the leaves of the key tree structure, and said the enciphering data further including upper-rank keys to be enciphered by lower-rank keys.
- 16. (currently amended) The data processing apparatus according to claim 15, wherein the said data processing apparatus is properly licensed if the said data processing apparatus is enabled to decode the enabling key block, and the

<u>said</u> data processing apparatus is devoid of proper licensing if the <u>said</u> data processing apparatus is unable to decode the enabling key block.

- 17. (previously presented) The data processing apparatus according to claim 15, wherein the enciphered enabling key block distribution authenticating key is subject to a version control process or to a process for renewing individual versions.
- (previously presented) The data processing apparatus according to claim 13, further comprising a memory for storing an enciphered leaf key, the enciphered leaf key being produced by enciphering a leaf key with a storage key that is associated with the data processing apparatus, the leaf key being part of a hierarchical key tree structure having a plurality of respectively associated with various roots of the tree structure, nodes of the tree structure, and leaves of the key tree structure, whereby a given one of the plurality of paths extends from a specific one of the roots to a particular one of the leaves of the key tree structure, and wherein the leaf key is associated with the data processing apparatus.
- (previously presented) The data processing apparatus according to claim 13, further comprising a memory for storing a device key block comprising a plurality of ciphered keys that include mutually different individually enciphered node keys of a hierarchical key tree structure having a plurality of keys respectively associated with various roots of the key structure, key structure, of the of the and leaves key tree structure, and having a plurality of paths whereby a given one of the paths extends from a given one of the roots to particular one of the leaves of the key tree structure, and wherein one of the leaves is associated with the data processing apparatus.
- 20. (currently amended) In a device for recording delivering data to or reproducing receiving data from a memory

storage device, the storage device including a memory and being external to the device for delivering or receiving, the data delivered to the external storage device being recorded in the memory and the data received from the external storage device being reproduced from the memory, a method comprising:

- (a) providing a virtual storage device disposed within the device for delivering or receiving;
- (ba) executing mutual authentication process—with a the virtual memory storage device when the memory external storage device does not include a mutual authentication function, + and
- (cb) if the mutual authentication between the device and with the virtual memory storage device is successful, executing the recording delivering of the data to or the reproducing—receiving of the data from the memory—external storage device.
- (currently amended) The method of claim 20, further 21. comprising:

prior to step (ab), identifying whether the memory external storage device includes the mutual authentication function; and

- if the memory external storage device includes the mutual authentication function, alternatively executing the mutual authentication function with the memory external storage device in place of step (ab); and
- if the mutual authentication with external storage device is successful, executing the delivering of the data to or the receiving of the data from the external storage device in place of step (c).
- (currently amended) The method of claim 20, wherein the mutual authentication process between the device and with the virtual memory—storage is carried out by applying an authenticating key stored in the virtual memory storage together

with an enabling key block distribution authenticating key, the key block distribution authenticating kev enabling previously enciphered by an enabling key block that includes enciphering data for enciphering renewal keys located along paths of a hierarchical key tree structure having a plurality of keys respectively associated with various roots of the tree structure, nodes of the tree structure, and leaves of the key tree structure, whereby a given one of the plurality of paths extends from a specific one of the roots to a particular one of of the key tree structure, the device leaves associated with one of the leaves of the key tree structure, and the enciphering data including upper-rank keys that are to be enciphered by lower-rank keys.

23. (currently amended) A license system for providing control of the transfer of data between data processing apparatus and a storage device, the storage device being external to the data processing apparatus and including a memory, the data transferred to the external storage device being recorded in the memory and the data transferred from the external storage device being reproduced from the memory, said license system comprising:

means for providing an enabling key block distribution authenticating key enciphered by an enabling key block, the block including enciphering data key enciphering renewal keys that are located along paths of a hierarchical key tree structure having a plurality of keys respectively associated with roots of the key structure, nodes of the key structure, and leaves of the key tree structure, whereby a given one of the plurality of paths extends from a specific one of the roots to a particular one of the leaves of the key tree structure, at least one of the leaves of the key tree structure being associated with a—the data processing apparatus, and said enciphering data including upper-rank keys that are to be enciphered by lower-rank keys;

means for enabling the execution of mutual authentication between the data processing apparatus and a virtual memory storage device provided within the data processing apparatus when the external storage device does not include a mutual authentication capability; and

means for reproducing enabling the transfer of data from the external storage device to the data processing apparatus apparatus or recording from the data processing apparatus to a memory the external storage device on condition that the mutual authentication between the data processing apparatus and the virtual memory storage device is executed successfully when the memory device does not include means for executing the mutual authentication with the data processing apparatus; and

the data processing apparatus being properly licensed if enabled to decode the enabling key block and being devoid of proper licensing if unable to decode the enabling key block.

24. (currently amended) A computer-readable medium for storing computer-executable software code for enabling a data processing apparatus to carrying out a method of recording of delivering data to or the reproducing receiving data from a memory storage device, the storage device being external to the data processing apparatus and including a memory, the data delivered to the external storage device being recorded in the memory and the data received from the external storage device being reproduced from the memory, said method comprising:

executing a—mutual authentication with a virtual memory storage device disposed within the data processing apparatus when the memory external storage device does not include a mutual authentication capabilityfunction; and

<u>delivering</u> recording—the data to or reproducing receiving the data from the memory external storage device if the mutual authentication with the virtual memory storage device is successful.